



Vice chair of the firm's Financial Institutions practice group, Tom brings more than three decades of experience to helping financial institutions address complex transactional and regulatory issues. For nine years and prior to joining the firm, Tom served as counsel for federal banking agencies, including the Federal Deposit Insurance Corporation and Office of Thrift Supervision.

Tom regularly advises on a wide range of strategic transactions for clients that include virtually all types of financial institutions, such as banks, savings associations, credit unions and mortgage companies. He has organized banks and trust companies, represented buyers and sellers in acquisition transactions, formed holding companies for depository institutions, represented financial institutions as issuers in securities offerings, structured and obtained regulatory approval for acquisitions, and represented financial institution clients in regulatory proceedings.

Tom also advises financial institution clients on a full range of regulatory matters, including issues arising under the federal Bank Secrecy Act including the Corporate Transparency Act, and state and federal consumer compliance laws, such as the Real Estate Settlement Procedures Act and Truth in Lending Act. He regularly consults with representatives of state and federal banking agencies to help resolve complex regulatory matters for clients.

Tom's practice also includes advising non-financial institution clients on general securities and corporate law matters. He has acted as issuer's and underwriter's counsel in numerous offerings of securities, including initial public offerings and private placements. He also regularly advises publicly held companies and their directors on corporate governance issues, disclosure obligations and other matters under federal securities laws. Tom also advises corporations, partnerships and limited liability companies in a variety of complex transactions, including mergers, acquisitions, sales of assets and secured financings.

Before joining Barnes & Thornburg, Tom served as counsel for the Federal Deposit Insurance Corporation in Boston and Chicago, and for the Office of Thrift Supervision and its predecessor agency in Chicago and

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EDUCATION

Indiana University-Bloomington, (J.D.),
cum laude, 1987

Indiana University-Bloomington, (B.S.),
1984

BAR ADMISSIONS

Indiana

District of Columbia (Inactive)

Massachusetts (Inactive)

LANGUAGES

English

PRACTICES

Corporate

Data Security and Privacy

Debt Finance

Emerging Companies and Venture
Capital

Joint Ventures

Mergers and Acquisitions and Private
Equity

Mortgage Law

Securities and Capital Markets

INDUSTRIES

Bank/Thrift/Credit Union Regulatory
Practice

Banking and Financial Institutions -
Securities

Financial Services

Washington, D.C. This background provides Tom with a deep understanding of the complex regulatory and examination issues confronting financial institution clients and the process for resolving them.

Professional and Community Involvement

Board member, Reach For Youth, Inc.

Honors

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- Financing
- Fintech
- Mergers and Acquisitions - Financial Services
- Treasury Management